



Department of Energy

Argonne Area Office
9800 South Cass Avenue
Argonne, Illinois 60439

OFFICE OF THE DIRECTOR
ARGONNE NATL LABORATORY

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DEC - 5 2003

Dr. Thomas Rosenbaum
Vice President for Research and
Argonne National Laboratory
The University of Chicago
5801 South Ellis Avenue
Chicago, Illinois 60637

Dear Dr. Rosenbaum:

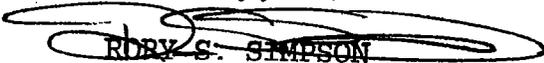
SUBJECT: MODIFICATION NO. A414 TO U.S. DEPARTMENT OF ENERGY
CONTRACT W-31-109-ENG-38

Enclosed for your records are four signed copies of the subject contract modification which unilaterally increases the amount of funds obligated hereunder by \$1,383,700.00 to a total of \$12,719,227,079.44. Also included is a Calculation of Funds statement.

Operating funds for Department of Energy are increased by \$1,383,700.00 as detailed in Exhibit I.

This obligation does not affect expenditure limitations which are applicable and which the laboratory may have been advised of separately from contract actions.

Sincerely yours,


RORY S. SIMPSON
CONTRACTING OFFICER, AAO
U.S. DEPARTMENT OF ENERGY

Enclosures:

- 1. Form SF30 (Amendment of Solicitation/Modification of Contract)
- 2. Calculation of Funds
- 3. Operating Funds, Exhibit I

- cc: Hermann A. Grunder, Laboratory Director, ANL - w/encls. ✓
- Michael H. Derbidge, Chief Operations Officer, ANL - w/encls.
- Don M. Randel, President, University of Chicago - w/encls.
- Renee K. Carder, Assistant Vice President of Program Development, University of Chicago - w/encls.
- William J. Hogan, Jr., Comptroller, University of Chicago - w/encls.
- Diana Jergovic, Finance Manager, University of Chicago - w/encls.
- J. I. Sacket, Idaho ANL-W, ATTN: C. Walton - w/encls.
- M. Bartos, Chief Financial Officer, ANL - w/encls. (6 cys)
- C. Markiewicz, Assistant CFO, Budget Management, ANL - w/encls. (8 cys)

This Correspondence has been indexed in the OTD for record retention in the above office. No OTD file is maintained.

1203-021

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. CONTRACT ID CODE PAGE OF PAGES
1 1

2. AMENDMENT/MODIFICATION NO. A414	3. EFFECTIVE DATE 12/01/03	4. REQUISITION/PURCHASE REQ. NO. 02-04CHENG38.003	5. PROJECT NO. (If applicable) N/A
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6. ISSUED BY U.S. Department of Energy Chicago Operations Office/Argonne Group 9800 South Cass Avenue Argonne, IL 60439	7. ADMINISTERED BY (If other than Item 6) See Block 6.
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8. NAME AND ADDRESS OF CONTRACTOR (No. street, county, State and ZIP Code) The University of Chicago 5801 S. Ellis Avenue Chicago, IL 60637	(✓)	9.A. AMENDMENT OF SOLICITATION NO.
		9.B. DATED (SEE ITEM 11)
		10.A. MODIFICATION OF Contract/Order NO. W-31-109-ENG-38
		10.B. DATED (SEE ITEM 13) October 1, 1999

CODE N/A	FACILITY CODE N/A
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11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning ___ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

SEE ATTACHED 799A

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

<input checked="" type="checkbox"/>	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B).
<input checked="" type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: Mutual Agreement of the Parties
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not required to sign this document.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section heading, including solicitation/contract subject matter where feasible.)

As provided in paragraph (a) of Part II, Clause I.79 – DEAR 970.5204.15, OBLIGATION OF FUNDS (APR 1994) (DEVIATION) of Modification No. M345 of the subject contract, the amount obligated hereunder is increased by \$1,383,700.00 to a total of \$12,719,227,079.44.

END OF MODIFICATION

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) RORY S. SIMPSON CONTRACTING OFFICER, AAO
15B. CONTRACTOR/OFFEROR	16B. UNITED STATES OF AMERICA U.S. DEPARTMENT OF ENERGY
15C. DATE SIGNED	16C. DATE SIGNED 12/05/03
(Signature of person authorized to sign)	(Signature of Contracting Officer)

**CALCULATION OF FUNDS
 MODIFICATION NO. A414 TO CONTRACT W-31-109-ENG-38
 FOR OPERATION OF ARGONNE NATIONAL LABORATORY
 (IN DOLLARS)**

FY 2004 OPERATING FUNDS:

Approved Funding Program - Operating Expense Authorized Funding:	
Operating Excluding EQU/GPE/GPP/AIP	\$62,971,294.82
EQU - Capital Equipment	\$6,078,900.92
GPE - General Plant Equipment	\$410,186.00
GPP - General Plant Projects	\$1,875,065.00
AIP - Accelerator Improvement Projects < \$5M	\$263,060.00
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TOTAL	\$71,598,506.74
Reimbursable Work for Other Federal Agencies	\$1,898,223.83
Reimbursable Work for Non-Federal Entities	\$6,024,005.86
Use of Third Party Receipts from Technology Transfer Activities	(\$522,076.25)
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Total Fiscal Year 2004 Operating Funding	\$78,998,660.18

FY 2004 PLANT FUNDS:

Approved Funding Program - Authorized Funding	\$1,134,986.00
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Total Fiscal Year 2004 Plant Funding	\$1,134,986.00

TOTAL FY 2004 FUNDING:

	\$80,133,646.18
Operating, Plant and Capital Equipment Funds Requirements Thru Mod A410 (Mod A410 is the Final FY 2003 Fund Mod)	\$761,351,440.62
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Total Funds Requirements on and after October 1, 2002	\$841,485,086.80
Contract Costs through September 30, 2002 (Thru Mod A410)	\$11,877,741,992.64
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Total Funds to be Provided	\$12,719,227,079.44
Funds Provided Through Modification A413	\$12,717,843,379.44
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Funds Added by Modification A414	\$1,383,700.00

89X0222.91 (WA)		* SCIENCE	
KC020202	EQU	Experimental Research	(1,879,000.00)
KC020202	EQU	MIE #41NG Experimental Research	3,262,700.00
TOTAL		89X0222.91 (WA)	1,383,700.00
* TOTAL OPERATING			1,383,700.00

SUMMARY:

OPERATING EXPENSE (OE):

Operating Expense Excluding EQU, GPE, GPP&AIP	0.00
EQU	1,383,700.00
GPE	0.00
GPP	0.00
AIP	0.00
TOTAL OPERATING EXPENSE (OE)	1,383,700.00
TOTAL CONSTRUCTION	0.00
TOTAL FUND TYPE 2Y (Non-Fed. Reimbursable Work - 60 Prog.)	0.00
TOTAL FUND TYPE 1Y (Third-Party Receipts/Tech Transfer - 65 Prog.)	0.00
SUBTOTAL	1,383,700.00
TOTAL EXHIBIT II (Reimbursable Work for Other Fed. Agencies - 40 Prog.)	0.00
GRAND TOTAL	1,383,700.00